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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Cecil M. Daniel, et al P E Group Art Unit: Not Assigned

Serial No. 09/923,825 SEP 24 2001 Examiner: Not Assigned

Filed: 08/07/2001

For: Computerized Method And System  
For Guiding Service Personnel To  
Select A Preferred Work Site For Servicing  
Transportation Equipment

INFORMATION DISCLOSURE STATEMENT

- ☒ 1. Pursuant to 37 CFR 1.97(b)  
(within 3 months of filing or prior to 1st Office Action)
- ☐ 2. Certification Pursuant to 37 CFR 1.97(c)  
(before Final Office Action or Allowance)
- ☐ 3. Fee Payment Pursuant to 37 CFR 1.97(c)  
(before Final Office Action or Allowance)
- ☐ 4. Petition, Certification & Petition Fee Payment Pursuant to 37 CFR  
1.97(d) (before issue fee payment)

Commissioner of Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance  
with 37 CFR 1.97 & 1.98:

- ☒ 5. A list of documents on form PTO-1449 together with copies of each  
identified document and a translation or a concise explanation of each non-  
English language document is enclosed herewith.

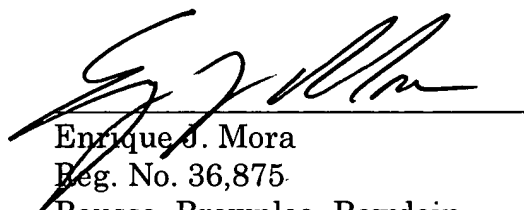
This paper is submitted in accordance with:

- ☒ 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7. 37 CFR 1.97(c): [before Final office Action or Allowance, whichever is  
earlier; and

- [ ] 8. The required certification made in item 11 below; or
- [ ] 9. The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement.
- [ ] 10. 37 CFR §1.95(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch \_\_\_\_, Date of Allowance \_\_\_\_"), and
- b) The required Certification is stated in item 11 below.
- [ ] 11. Certification
- [ ] 12. Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;  
or
- [ ] 13. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- [ ] 14. Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. \_\_\_\_\_.

An original and two (2) copies of this document are enclosed.

Respectfully submitted,



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0400 #5

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/923,825
	Filing Date	08/07/2001
	First Named Inventor	Cecil M. Daniel
	Group Art Unit	Not Assigned
	Examiner Name	Not Assigned
Total Number of Pages in This Submission	Attorney Docket Number	20-EB-2086/624226-245

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) Post Card 2) Information Disclosure Statement original & 2 copies
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Enrique J. Mora, Esquire Reg. No. 36,875 Beusse, Brownlee, Bowdoin & Wolter, P. A.
Signature	
Date	Sept. 18/01

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 9/19/2001			
Typed or printed name	Evelyn J. Gish		
Signature		Date	9/19/2001

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Substitute for form 1449A/PTO

Complete if Known

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number 09/923,825  
 Filing Date 08/07/2001  
 First Named Inventor Cecil M. Daniel  
 Group Art Unit Not Assigned  
 Examiner Name Not Assigned  
 Attorney Docket Number 20-EB-2086/624226.245

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1	4,404,639		McGuire, et al.	09/13/1983	
	2	4,697,243		Moore, et al.	09/29/1987	
	3	5,111,391		Fields, et al.	05/05/1992	
	4	5,623,404		Collins, et al.	04/22/1997	
	5	5,717,595		Cherrington, et al.	02/10/1998	
	6	5,778,381		Sandifer	07/07/1998	
	7	5,786,998		Neeson, et al.	07/28/1998	
	8	5,867,801		Denny	02/02/1999	
	9	5,902,985		Bos, et al.	05/11/1999	
	10	5,913,914		Kemner, et al.	06/22/1999	
	11	5,922,040		Prabhakaran	07/13/1999	
	12	5,931,877		Smith, et al.	08/03/1999	
	13	5,961,560		Kemner	10/05/1999	
	14	5,978,717		Ebersohn, et al.	11/02/1999	
	15	6,052,631		Busch, et al.	04/18/2000	
	16	6,067,486		Aragones, et al.	05/23/2000	
	17	6,070,155		Cherrington, et al.	05/30/2000	
	18	6,115,691		Ulwick	09/05/2000	
	19	6,167,333		Gehlot	12/26/2000	
	20	6,170,742		Yacoob	01/09/2001	
	21	6,182,048		Osborn, et al.	01/30/2001	
	22	6,216,108		LeVander	04/10/2001	
	23	6,219,597		Longere	04/17/2001	
	24	6,240,365		Bunn	05/29/2001	
	25	6,260,048		Carpenter, et al.	07/10/2001	
	26	6,263,265		Fera	07/17/2001	
	27	6,263,266		Hawthorne	07/17/2001	
	28	6,263,322		Kirkevoid, et al.	07/17/2001	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

Examiner Signature

Date Considered

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.